

**PATENT** Dkt. STL11421

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Clark E. Lubbers and Randy L. Roberson

Assignee:

SEAGATE TECHNOLOGY LLC

Application No.:

10/658,982

Group No.: 3728

Filed:

**September 10, 2003** 

Examiner: Unknown

For: ADAPTIVE MAPPING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE-MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b)).

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The supplemental information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date:

Respectfully submitted,

1.1 - Em

Mitchell K. McCarthy, Registration No. 38,794 Randall K. McCarthy, Registration No. 39,297

Fellers, Snider, Blankenship, Bailey & Tippens, P.C.

100 North Broadway, Suite 1700 Oklahoma City, OK: 73102-8820

Telephone: 405-232-0621

Fax: 405-232-9659 Customer No. 33900

**PATENT Dkt. STL11421** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Clark E. Lubbers and Randy L. Roberson

Assignee:

**SEAGATE TECHNOLOGY LLC** 

Application No.:

10/658,982

Group No.: 3728

Filed:

**September 10, 2003** 

Examiner: Unknown

For: ADAPTIVE MAPPING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Identification of Person(s) Making This Information Disclosure Statement

#### Section 1. Preliminary statements

Applicant submits herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

3728

Under the Paperwork Reduction Act of 1995 is are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/658,982 Filing Date INFORMATION DISCLOSURE 09/10/2003 First Named Inventor Clark E. Lubbers STATEMENT BY APPLICANT

Art Unit

**Examiner Name** 

(Use as many sheets as necessary)

JUL 1 1 2005

2 **Attorney Docket Number** STL11421 Sheet

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Numb  Number-Kind Code <sup>2 (f)</sup>	········	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	<sup>us-</sup> 6,895,467	B2	05/17/2005	Lubbers, et al.		
	AB	<sup>US-</sup> 6,789,156	B1	09/07/2004	Waldspurger		
	AC	<sup>US-</sup> 6,745,189	B2	06/01/2004	Schreiber		
\$0 0A* \$0\$ \$00\$ \$00\$ \$0.00 \$0.00 \$0.00 A* 9 ********************************	AD	us- 6,505,283	B1	01/07/2003	Stoney	······································	
	AE	<sup>US-</sup> 6,445,680	<u>B1</u>	09/03/2002	Moyal		
····	AF	us- 6,356,897	<u>B1</u>	03/12/2002	Gusack		
	AG	us- 6,173,291	<u>B1</u>	01/09/2001	Jenevein		
	-A-H-	-us6,151,685		11/21/2000	Li, et al.		
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	AI	<sup>US-</sup> 6,117,185		09/12/2000	Schmidt		
	AJ	<sup>us.</sup> 5,956,723	·····	09/21/1999	Zhu		
·***	AK	us. 5,933,592	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	08/03/1999	Lubbers, et al.	·····	
	AL	<sup>US-</sup> 5,832,526		11/03/1998	Schuyler		
********************************	AM	us- 5,761,501		06/02/1998	Lubbers, et al.	**************************************	
	AN	<sup>us-</sup> 5,671,406		09/23/1997	Lubbers, et al.	•	
***************************************	AO	<sup>US-</sup> 5,659,739	, <del>, , , , , , , , , , , , , , , , , , </del>	08/19/1997	Lubbers, et al.	*	
	AP	US- 5,566,328		10/15/1996	Eastep		
2 x 10 B M colorate control of B report Stand III de la color of B b color Standard Lanco on	AQ	US- 5,359,724		10/25/1994	Earle		
	AR	1 110		05/02/1989	Flannagan, et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1  Country Code3 Number 4 Kind Code5 (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
	BA	JP 2004-038928	A2	02/05/2004	Network Appliance Inc.		
******************				********************************			
************		***************************************	•••••	**********************			
	************		•••••	*************************************			

	•	
Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/658,982 Filing Date INFORMATION DISCLOSURE 09/10/2003 First Named Inventor STATEMENT BY APPLICANT Clark E. Lubbers Art Unit 3728 **Examiner Name** (Use as many sheets as necessary) **Attorney Docket Number** STL11421 Sheet

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
## 1800-5	AS	<sup>US-</sup> 2005/0055529 A1	03/10/2005	Lubbers, et al.	
	AT	US- 2003/0079102 A1	04/24/2003	Lubbers, et al.	
······································		US-			
		US-			•
		US-			
		US-			
***************************************		US-			
wy 8 y 18 18 18 18 19 19 19 19 19 19 19 19 19 19 19 19 19	<del></del>	US-	**************************************		
		US-			
		US-			
·····		US-			
***************************************	<u> </u>	US-			
		US-			
***************************************		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
				,		Г
				•••••••••••••••••••••••••••••••••••••••	***************************************	

Examiner	Date	
Signature	Considered	
- G	Combidence	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A (substitute for Form PTO-1449) accompany this information statement.

# Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

a. the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Date: 7/11/2005

Respectfully submitted,

Mitchell K. McCarthy, Registration No. 38,794

Randall K. McCarthy, Registration No. 39,297

Fellers, Snider, Blankenship, Bailey & Tippens, P.C.

100 North Broadway, Suite 1700 Oklahoma City, OK 73102-8820

Telephone: 405-232-0621

Fax: 405-232-9659 Customer No. 33900